

Top 10 Best Financial Advisors in Oklahoma City & Tulsa, OK | 2017 Ranking | Oklahoma City & Tulsa Wealth Managers

2017 RANKING & REVIEWS TOP RANKING FINANCIAL ADVISORS IN OKLAHOMA CITY & TULSA

Finding the Top Financial Advisors in Oklahoma City & Tulsa, OK

Many people conjure up images of Wall Street when they think about hiring an established, professional investment advisor. Perhaps they even think of Los Angeles, Chicago or other “big” cities known for their commerce and finance.

If you’re searching for a financial planner in Oklahoma City or Tulsa, you want to get the same expertise and level of service that you’d get from one of those established “big city” firms.

Not only that, but you want a wealth management firm in Oklahoma City that takes its time getting to know you and your goals and financial needs – not to mention the needs of your family, business, foundation or institution, as well.

The great news for you is that the more well-known hubs of American finance don’t have a monopoly on successful investment management. To the contrary, several Oklahoma City wealth managers rival whatever service you may find in New York City, L.A., Chicago, or any other city across the country.

The problem is that some wealth management firms in Oklahoma City and Tulsa sound like they might be trustworthy Oklahoma City financial advisors. They use words like “fee-based” or “independent” – industry buzzwords that draw potential clients looking for a fiduciary advisor.

Whether such firms intentionally mislead potential clients or not, the truth is that many investors, through no fault of their own, aren't sure of what qualities to look for in a reputable Oklahoma City Registered Investment Advisor.

That's where our list of the top wealth management advisory firms in Oklahoma City can help. We break down the cream of the crop when it comes to choosing a Tulsa and Oklahoma City wealth manager. We lay out in detail what you should look for in an advisor to ensure that it is putting your interests first in everything that it does.

Read on for more of the methodology behind how we chose the best Oklahoma City wealth management firms as well as a listing of the top firms themselves.



Award Emblem: Top 10 Best Financial Advisors in Oklahoma City & Tulsa, Oklahoma

AdvisoryHQ's List of the Top 10 Best Financial Advisors in Oklahoma City

List is sorted alphabetically (click any of the names below to go directly to the detailed review section for that advisor)

- [Align Wealth Management](#)
- [ChartMark Investments, Inc.](#)
- [Exencial Wealth Advisors](#)
- [Hughes Warren, Inc.](#)
- [InvesTrust Wealth Management](#)
- [Legacy Financial Group, Inc.](#)
- [NAFA Investment Management](#)
- [Retirement Investment Advisors, Inc.](#)
- [T.S. Phillips Investments Inc.](#)
- [Warburton Capital Management](#)

Top 10 Financial Advisors in Oklahoma City & Tulsa | Brief Comparison

Wealth Management Firm in Oklahoma City & Tulsa	Highlighted Features
Align Wealth Management	WebTaxGuide
Chartmark Investments, Inc.	Full Suite of Financial Services
Exencial Wealth Advisors	Executive Wealth Management
Hughes Warren, Inc.	Custom plans
InvesTrust Wealth Management	Team with extensive industry certifications
Legacy Financial Group, Inc.	Comprehensive Retirement Planning
NAFA Investment Management	Native American fund advisors
Retirement Investment Advisors, Inc.	Interactive Retirement Resources
T.S. Phillips Investments	Dynamic Investment Planning
Warburton Capital Management	Detailed Planning Process

Table: Top 10 Best Financial Advisors in Oklahoma City and Tulsa | Above list is sorted alphabetically

AdvisoryHQ's Selection Methodology

What methodology does AdvisoryHQ use in selecting and finalizing the credit cards, financial products, firms, services and products that are ranked on its various top rated lists?

Please click here “[AdvisoryHQ's Ranking Methodologies](#)” for a detailed review of AdvisoryHQ’s selection methodologies for ranking top rated credit cards, financial accounts, firms, products, and services.

Detailed Review – Top Ranking Best Wealth Management Firms in Oklahoma City and Tulsa

Below, please find the detailed review of each firm on our list of best wealth management firms in Oklahoma City and Tulsa. We have highlighted some of the factors that allowed these Oklahoma financial advisors to score so high in our selection ranking.

See Also: [Best Financial Advisors in D.C., Maryland, & Virginia \(Tri-State\) | Ranking | D.C., MD & VA Wealth Managers](#)

Align Wealth Management Review

[Align Wealth](#) is an Oklahoma City Registered Investment Advisor.

The firm was founded in 1993 to serve high-net-worth individuals, families, and small business owners. Align, in conjunction with Forum Financial Management, [manages over \\$1 billion in assets](#).

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled Align Wealth Management to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Fee-Only

Align is a [fee-only](#) firm. This means that it will not charge fees for any proprietary products sold. Nor will it earn commission based off its recommendations of additional products and services.

The firm is committed to a fiduciary standard which obligates it to put your interests first. This wealth management firm in Oklahoma City is required by law to treat your money as though it were its own. As a result, it recommends only what is necessary for your account without engaging in unneeded risks.

Align's fees cover client conferences and meetings, portfolio reporting, performance reviews and updates, tax reports, and projections on cash flow. The fees are billed in arrears each quarter from a percentage of assets under management (AUM). No exit fees are charged if services are terminated.

One thing that makes Align unique on our list of Oklahoma City wealth management firms is that it hires a third-party reconciliation firm to track and verify the firm's investment track record.

Clients can view this information upon request. Furthermore, Align can provide documentation on how the firm performed at any given point in time, replete with an array of market conditions.

Align goes the extra mile by offering a money-back guarantee with no questions asked. If you aren't satisfied with this Oklahoma wealth management firm's work, notify it within your first year, and it will refund all your fees.

In the interest of transparency, this Oklahoma City financial advisory firm allows you to access your account from both an independent custodian as well as a third-party reconciliation firm. Align does not take custody of any client's money.

Additionally, Align advisors invest in the same assets that they recommend to their clients. They believe this demonstrates true integrity and true transparency. In their opinion, any financial advisor worth their salt does the same.



Long-Term Commitment

Align is committed to you for the long term. In fact, it is Align's philosophy that long-term investments in equities will prove to be most beneficial to your portfolio, particularly as they concern inflation and tax-efficiency.

With that in mind, Align wants to keep you as a client for life. To ensure that this happens, its advisors communicate with you regularly. You will meet with your Align advisor anywhere [from 2-4 times each year](#) (for clients in Oklahoma City and Tampa Bay).

However, one of the nice things about Align is that it will meet with you as often as you need. Clients who live outside the firm's main locations have access to their advisors over the phone and through email and web-conferencing.

Thoughtful, Customized Service

Most of the financial advisors in Oklahoma City on our list offer complimentary first meetings. These meetings typically consist of getting to know you and your goals, financial health, and a host of other issues.

Align does the same for prospective clients, but with a helpful twist: It offers what it calls a “Second Opinion Service.” The goal of this service is to determine where you stand financially and whether or not you truly need Align’s services.

Align reviews your financials and learns about your goals and objectives. If after reviewing your finances these financial advisors in Oklahoma City think you're on the right track, they'll advise you not to hire them and to keep doing what you're doing.

If Align thinks that you can benefit from certain changes to your portfolio, however, it'll make recommendations.

The nice part? You get to decide whether to take its advice and hire this Oklahoma City wealth management firm.

If you do decide to use Align’s services, it takes some additional time to learn about the specifics involved in reaching your goals. Your Oklahoma City financial planner will also determine how much money you wish to save and for what purposes.

Your preferred timeline for reaching these milestones also plays an important part in helping this wealth management firm in Oklahoma customize your plan.

Once your plan is devised, Align gives you a hand with the implementation. It makes your portfolio tax-efficient, risk-balanced, and cost-effective.

Part of how it accomplishes this is through a process known as asset location. [Asset location](#) reduces your overall taxes by ensuring that the right assets are held inside the correct accounts.

The three primary accounts on which Align focuses its Asset Location strategy are:

- Taxable accounts such as revocable trusts and joint accounts
- Tax-free accounts such as Roth IRAs
- Tax-deferred accounts such as IRAs and 401(k)s

Are there investments that you don't want to part with? This wealth management firm in Oklahoma City will help you arrange a self-managed Schwab or TD Ameritrade account. Align will not, however, oversee or advise on such investments.

Total Team Approach

At Align, you won't have only a single financial advisor working on your account. Instead, this entire team of financial planners in Oklahoma City will review your portfolio and offer insights into how to maximize your investments.

This guarantees a more well-rounded plan than you'll receive from your usual Oklahoma City wealth management firm. However, to further ensure that you receive the highest quality investment advice, Align goes the extra mile by partnering with Forum Financial Management and Dimensional Fund Advisors.

Forum is an independent, registered advisory firm comprised of 30 investment professionals. These professionals include Certified Financial Planners, Certified Public Accountants, and more.

Through this strategic partnership, Align is better able to work toward solutions that best address your financial needs and goals.

By working with Dimensional Fund Advisors (or DFA), Align connects with Nobel laureates and distinguished professors in economic and portfolio theory. Many of these professors hail from such reputable and esteemed universities as Stanford, Harvard, University of Chicago, Wharton, and Dartmouth, among others.

The Align-DFA partnership puts Align on the front lines of economic and financial services development. It constantly receives the benefit of the research conducted by DFA's board, allowing it to implement such research in a real-world environment with you, the client.



Align sometimes incorporates DFA funds into its managed portfolios. It can be easy for this to be construed as a conflict of interest. However, Align does not earn commission, kickbacks, incentives or any compensation whatsoever from DFA for utilizing such funds.

To that end, DFA is an exclusive fund sponsor, freeing up Align to choose other investments when they better meet your needs.

High Praise

Align has been recognized by several very reputable magazines through the years. Some of these magazines, among others, include *Businessweek*, *Wealth Manager* magazine, *Barron's*, and *Financial Advisor* magazine.

WebTaxGuide

Align's website features its [WebTaxGuide](#), which is incredibly informative and comprehensive. The guide deals with an assortment of tax issues, including tax planning basics, taxes from investing, real estate taxes, family and education, charitable giving taxes, and more.

ChartMark Investments, Inc. Review

[ChartMark Investments, Inc.](#), which is based in Tulsa was founded in 2001 by Mark S. Smith and John Clerico. The firm caters to wealthy individuals and their families, small businesses, and institutions.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm

Below are key factors that enabled ChartMark Investments, Inc. to rank as one of the top financial advisors in Tulsa.

Independent, Fee-Only, and Fiduciary

ChartMark is an independent, [fee-only](#) financial planner. As a fiduciary, it is required by law to strive for what is best for its clients, not what is best for the firm.

To this end, ChartMark does not earn or take commission on any recommended products or services, nor does it sell the same. If one of their financial advisors makes a recommendation, they do so free of ulterior motives and with your best interests in mind.

Focus on Your Long-Term Well-Being

ChartMark places a premium on long-lasting client relationships. It is open and upfront about the fact that it wants to assume your goals, needs, and success as its own.

This translates into ChartMark partnering with you to make your financial dreams a reality. It stays dedicated to you until the very end of your working relationship.

One way ChartMark accomplishes this is by getting to know you as thoroughly and completely as possible. When your needs change, it wants to know in order to help adjust your portfolio and keep you on track to achieve your objectives.

Not only that, but this Tulsa wealth management firm aims to further your trust and deepen the client-advisor relationship through quality customer service. It provides top-notch performance reports and endeavors to ensure that you speak to a real person any time you call with a question or concern.

Personalized Plans

ChartMark offers a complimentary meeting with you in order to review your financial standing and evaluate how best to proceed with your financial plan.

Once the actual strategizing process has begun, your ChartMark advisor writes down your plan and an investment policy statement. Your plan is personalized to your individual goals, desired time horizon, and existing financial resources.

ChartMark then balances your risk and allocates assets accordingly. At this stage, both your short- and long-term goals are accounted for in your plan. Your plan is structured to preserve enough capital for future milestones while budgeting for immediate needs.

Complete Suite of Financial Services

ChartMark offers a complete suite of services that can address your wealth management needs.

These services include:

- Investment management
- Financial planning
- Portfolio management
- Qualified retirement plan solutions

Dedicated to Client Education

One look at ChartMark's [resources](#) on its website and you can tell that it is dedicated to educating its investors.

You have your pick of such resource headers like Life Events, Calculators, and Market Summaries. Within these pages, you'll find advice and informative articles on how to cope with unemployment as well as how to deal with changing jobs, marriage, retirement, starting a family, and more.

[Don't Miss: Best Financial Advisors in Philadelphia, PA | Ranking | Greater Philadelphia Area Wealth Managers](#)

Exencial Wealth Advisors

[Exencial Wealth Advisors](#) is a Registered Investment Advisor (RIA) in Oklahoma City. They offer comprehensive wealth planning services including portfolio management, tax planning, and guidance regarding executive compensation.

This wealth management firm in Oklahoma City is an independent firm. This means that they are not tied to any other companies or brokerage firms. Their goal is helping clients make intelligent, informed decisions about their finances.

Exencial Wealth Advisors is a [fee-only](#) wealth management firm in Oklahoma City.



Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled Exencial Wealth Advisors to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Personal Wealth Management

Naturally, this wealth management firm in Oklahoma City offers personal wealth management. Individuals who have earned and diligently saved their money receive expert guidance about how to cultivate their wealth.

A financial advisor in Oklahoma City will sit down with a client to understand their goals, including their short-term and long-term plans. This enables the advisor to craft a plan that is aligned with their life plans while also guaranteeing financial security in the future.

In addition, this Oklahoma City financial advisor assesses the client's existing financial circumstances to identify areas of strength and areas that could use some work.

Of course, a vital component of any financial plan is a smart investment strategy. When your financial advisor in Oklahoma City [draws up a plan](#), they will consider:

- Growth opportunities and risks posed by the securities market
- Methods to minimize risk
- Your current investment allocation and costs
- Your specific goals
- Ways to reduce investment costs

Executive Wealth Management

Executives hold such a high position in their companies that their personal financial health (and even physical health!) is often tied up in the fate of their company. Exencial offers executive wealth management services that companies can offer to their key executives.

Offering the services of this Oklahoma City wealth management firm to executives holds value for both the exec and the company.

For the executive, it's all about time. Their schedule is jam-packed with meetings with stakeholders and crucial organizational decisions that they simply do not have the time left over to think about their personal finances.

By offering your executives this executive wealth management service, they can outsource this important task to a qualified financial advisor in Oklahoma City that will get the job done.

Furthermore, executives have a range of fantastic benefits that they don't know too much about. A financial advisor in Oklahoma City can help them incorporate those company perks into their personal financial plan.

Companies who offer these services to their executives benefit from key employees who can focus on the company's financial health instead of worrying about their own. Additionally, the availability of this executive wealth management service can attract new talent to the company.

Inclusive Process

The [process](#) used by this wealth management firm in Oklahoma City prioritizes keeping the client in the loop. Each step of the way, customers are about providing clients with the information and documentation they need to be aware of what is happening with their money.

During the analysis of a client's current financial positions, their Oklahoma City financial advisors provides them with a personal financial statement that includes:

- Net worth
- Cash flow
- Insurance coverage for death, disability, and long-term care needs
- Estate valuation
- Income tax projections
- Withholding analysis
- Investment allocation
- Stock option analysis

This financial advisor in Oklahoma City then designs a financial plan that incorporates several elements including:

- Cash flow and retirement planning
 - Insurance needs
 - Estate planning
 - Tax planning and preparation
 - Recommended investment allocation
 - Charitable giving
 - Gifting to family
 - Company-provided benefits
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Hughes Warren Inc. Review

[Hughes Warren Inc.](#) is an Oklahoma City Registered Investment Advisor.

The firm was founded by Ted Hughes and Melinda Warren in 2007. It serves individuals, families, and small business owners. Hughes Warren Inc. is a [fee-only](#) wealth management firm in Oklahoma City.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled Hughes Warren Inc. to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Puts Your Best Interests First

Hughes Warren is fee-only and will not sneak extra costs into its fee structures. It also does not earn commissions for its recommendations. The advice provided by this financial advisory firm in Oklahoma is unbiased, objective, and client-centered.

It is also independent, which allows it to set its own policies and agendas free from undue influence from parent companies or affiliate organizations. Hughes Warren's role as a fiduciary also means that your goals and concerns – not the firm's bottom line – guide its investment recommendations.

With You for as Long as You Need

When you undergo changes in your life and your finances, your Hughes Warren financial advisor in Oklahoma City wants to know. The firm wants to help you recalibrate your portfolio and keep it primed for maximal gains.

To do this, the team at Hughes Warren stays committed to walking beside you no matter what you go through. Your financial advisor in Oklahoma City meets with you regularly, either in person or by phone, to review your circumstances. From there, they make recommendations on how to adjust your investments for improved performance.

Custom Plans

Hughes Warren meets with you one-on-one to review your financial health and understand your future goals. This initial meeting is 100% complimentary and prepares for the meetings to follow.

From there, your Oklahoma City financial advisor makes recommendations tailored to your individual goals. Several factors contribute to these recommendations, including your cash flow, taxes, risk tolerance, and existing investments.

To make your portfolio as efficient as possible, Hughes Warren advisors emphasize diversification with a preference for no-load and load-waived mutual funds. However, the firm does retain the ability to manage the majority of investment types.

Once your custom plan is implemented, your Hughes Warren financial advisor in Oklahoma City regularly monitors your portfolio performance and updates you accordingly. Your advisor rebalances your portfolio as needed.

Experienced in Client Service

Hughes Warren boasts an office with more than 40 years of experience combined. Its Certified Financial Planners™ are experienced in financial management and estate planning.

Its full array of services includes:

- Financial planning
- Retirement planning
- Investment management
- Cash flow management
- Tax planning
- Estate planning
- Insurance planning

[Related: Best Financial Advisors in Cincinnati, OH | Ranking | Cincinnati Wealth Managers](#)

InvesTrust Wealth Management Review

[InvesTrust Wealth Management](#) is a boutique Oklahoma City financial planner.

The firm was established in 1998 to assist foundations, corporations, endowments, corporate pension plans, and extremely high-net-worth individuals and their families. InvesTrust's clientele stretches from Oklahoma through Texas and the Gulf Coast.

InvesTrust is a [fee-only](#) wealth management firm in Oklahoma City.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled InvesTrust Wealth Management to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Fee-Only Structure

InvesTrust Wealth Management is one of the Oklahoma financial planners that makes our list because of its fee-only payment structure. All fees are laid out upfront, with no hidden costs or commissions.

InvesTrust can do this because it is independent and does not function as an arm of a brokerage to which it is beholden. With that in mind, InvesTrust is not directed by sales quotas, performance bonuses, sales-based bonuses, referrals or any payment scheme that produces a conflict of interest.

Tailored, Long-Term Advice

InvesTrust helps you aim for the long-term. Its Oklahoma City financial advisors tailor their advice accordingly, ensuring that they won't try to time the market or risk your money on short-term investment fads.

That said, while this Oklahoma City wealth management firm doesn't invest your money in short-term opportunities, it can offer short-term services to better suit your needs.

Your InvesTrust financial advisor in Oklahoma City meets with you at least once every quarter, however, they are willing to meet more frequently if needed.

No matter how often you meet, you can be assured that InvesTrust will take the time to educate you on what's happening with your portfolio. This top-

rated wealth management firm in Oklahoma City values client education, and the investor-advisor trust that it can foster.

Certified with Professional Designations

The team at InvesTrust has earned several industry-recognized designations. Many of these designations require ongoing education to stay on top of the changing laws and practices within the financial services industry.

Some of these designations include:

- Master of Business Administration (MBA)
- Juris Doctor (JD)
- Chartered Financial Analyst (CFA®)
- Certified Trust & Financial Advisor (CTFA)
- Series 65 Registered Investment Advisor
- Certified Anti-Money Laundering Specialist (CAMS)
- Investment Advisor Certified Compliance Professional (IACCP®)
- Certified Public Accountant (CPA)

Experienced Team with Wide Range of Services

The team of Oklahoma City financial planners at InvesTrust sports a diverse background in estate planning, banking, working with foundations, assisting non-profits, tax planning, and employee benefit plans, among others.

To that end, InvesTrust applies this broad array of expertise into serving you in one of the following ways:

- Custodial: InvesTrust can act as a custodian for your money while managing the attendant transactions.
 - Investment Management: In this capacity, InvesTrust seeks investment opportunities that fall within your risk tolerance and established time horizon. Whether conservative or risk-heavy, InvesTrust advisors can craft a plan to suit your preferences.
 - Trustee: With this role, InvesTrust directly manages your wealth. It does so typically in conjunction with an accountant, lawyer, and financial institution. Together, they also manage your surviving family's financial needs.
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Legacy Financial Group, Inc. Review

[Legacy Financial Group, Inc.](#) is a Registered Investment Advisor in Tulsa.

Founded in 2000, Legacy Financial Group creates custom financial plans for individuals, business owners, foundations, and trustees. They do not explicitly say whether they are a fee-only or fee-based wealth management firm in Tulsa, Oklahoma.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled Legacy Financial Group Inc. to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Driven by the Fiduciary Standard

Trustworthiness is the most important factor when choosing a wealth management firm in Tulsa. Legacy is a [fiduciary](#), which means that it is legally obligated to put its clients' interest first.

These Tulsa financial advisors offer [unbiased and conflict-free advice](#). As a fiduciary, Legacy adheres to a strict code of ethics that requires each and every client's goals and interests to be placed first.

Comprehensive Retirement Planning

You're unlikely to find a wealth management firm in Oklahoma that does not consider retirement when creating a financial plan. It is perhaps the most important reason to manage your wealth in the first place - you need money once you stop work.

That said, it is rare to find a financial advisor that outlines what exactly you need to think about in retirement from the jump.

Legacy Financial's website handily outlines all of the elements individuals need to consider when it comes to retirement. It's a lot, and it goes beyond choosing which tax-advantaged retirement savings account you should be contributing to.

A Tulsa financial advisor at Legacy will go over the following [considerations](#):

Lifetime Income Need: You could live for decades after you retire. You want to ensure you don't run out during your retirement.

Healthcare Needs: As you get older, your health issues may become more frequent and complicated. While there is a backup in the form of Medicare, it may not be enough for chronic health conditions. Your Tulsa financial advisor at Legacy can help you plan for potential long-term care needs.

Estate Protection: Making sure your heirs get what you've left to them is a tricky business. Proper estate planning can ensure all possible legal scenarios (including tax implications) are considered and covered.

Your Tulsa financial planner will also help you decide between employer-sponsored qualified plans, traditional and Roth IRAs, and more. They can also help you answer questions about other topics related to retirement like social security.

Client-Centric Investment Philosophy

This Tulsa wealth management firm believes that portfolio management is tied to the client's financial plan. All financial decisions are geared towards meeting their stated needs and goals whether it's retirement planning or funding their children's educations.

This wealth management firm in Tulsa's investment philosophy is informed by Modern Portfolio Theory (MPT). The [core concepts](#) at the heart of their investment strategy are:

- Asset allocation drives long term performance
- Frequent trading and market timing increases costs and lower returns
- Portfolios tilted towards Small and Value companies increase returns
- Portfolio changes should be influenced by changes in a client's life or goals instead of on market forecasts

Popular Article: [Best Financial Advisors in Charlotte & Asheville, NC | Ranking | Top Wealth Managers](#)

NAFA Investment Management Review

[NAFA Investment Management](#) is a Registered Investment Advisory firm in Tulsa, Oklahoma. Founded in 1996 by R. Brett Kramer and David Poarch, NAFA was created to help tribal governments, corporations, foundations, pension plans, profit sharing plans, trusts, and various other tribal entities.

One of the unique aspects of NAFA is that the firm is Native American-owned. In fact, several employees at the firm boast Native American heritage and are members of tribal nations.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled NAFA Investment Management to rank as one of the top financial advisors in Oklahoma City and Tulsa.

No Conflict-of-Interest

NAFA is an independent fiduciary financial advisor in Tulsa that offers its services without potential conflicts of interest.

This Tulsa wealth management firm has no split fees, no hidden fees, and no commission earned by its advisors because they are a [fee-only](#) wealth management firm in Tulsa, Oklahoma.

A Focus on Generational Trust

NAFA is not just concerned with serving your financial needs in isolation. These Tulsa financial planners aim to gain the trust of your family as well so that they too can feel comfortable entrusting the family's wealth with them.

To make this goal a reality, NAFA advisors seek to foster a productive relationship through transparency and quality client service. This Tulsa wealth management firm strives to stay in consistent communication with you regarding your account, not to mention coordinating all of its team's efforts toward helping you meet your financial needs.

A Process Tailored to You

NAFA does not squeeze clients into a pre-existing model portfolio. Instead, an investment strategy is created *around* your financial data and concerns. This

guarantees a personalized financial plan that helps each client reach their specific investment goals faster.

Factors such as time frame, risk tolerance, and desired rates of return are incorporated into your plan. From there, your Tulsa financial advisor manages your portfolio and rebalances it according to the those considerations. Its aim is to keep you on schedule to meet your financial goals.

This Tulsa wealth management firm never exceeds your risk limit in favor of higher rates of return. Nor will it depart from your agreed-upon investment approach without your approval. Likewise, it will calibrate your plan according to your requests or changes in your financial situation.

Several Licenses and Certifications Among Staff

NAFA's advisors boast a wide array of certifications and licensures. Some of these include:

- National Association of Securities Dealers (NASD)
- General Securities Agent (Series 7)
- General Securities Principal (Series 24)
- Uniform Investment Advisor (Series 65)
- Uniform Securities Agent State Law certificate (Series 63)
- Chartered Financial Analyst (CFA)
- Master of Business Administration (MBA)
- Juris Doctor (JD)
- Certified Financial Planner (CFP®)

Decades of Experience in an Array of Services

As a whole, NAFA's team of Tulsa financial advisors has over 100 years of combined financial services experience. This experience comprises stints in finance, accounting, tax planning, law, risk management, and asset management, among others.

The advisors at NAFA put this knowledge and expertise to use for its clients' benefit in the following capacities:

- Retirement planning
- Tax planning
- Investment planning
- Management of cash flow
- Education planning

- Estate planning
 - Risk planning
 - Preservation and transfer of wealth
 - Charitable gifts
 - Foundations
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Retirement Investment Advisors, Inc. Review

[Retirement Investment Advisors, Inc.](#) is an Oklahoma City financial advisor with satellite offices in Edmond, OK, and Frisco, TX. The firm was founded by Randy L. Thurman and Joseph W. Bowie in 1990.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled Retirement Investment Advisors to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Independent & Fee-Only

Retirement Investment Advisors is an independent firm whose recommendations are not based on a parent company or outside organization. As a fiduciary, they are obligated to put their clients' best interests above the firm's.

Retirement Investment Advisors is a [fee-only](#) wealth management firm in Oklahoma City. Its advisors do not earn commission on any product or service that it suggests.

Seven Questions for Sound, Personal Investment Management

Retirement Investment Advisors poses a series of questions to get to the bottom of how you must structure your investment strategy to meet your unique, individual goals.

These seven questions are as [follows](#):

- How much are you starting with?
- How long do you want your money to last?
- How much do you want to end up with?
- How much return will you get on your investments?
- How much risk should you take?
- What about inflation?
- How much do you want to take out each year?

Once you complete a complimentary consultation with your RIA advisor, the advisor recommends a strategy sized to your needs. Upon approving your plan, your financial advisor in Oklahoma City opens your accounts. You then work regularly with your advisor to review your accounts and rebalance them as needed.

In order to provide a more customized service, Retirement Investment Advisors can craft your financial plan with input from your existing team of consultants and advisors.

Specialized, Ongoing Education

The Oklahoma City financial advisors at Retirement Investment Advisors have undergone training in several disciplines to help them perform their jobs better. These designations require continuing education and keep advisors up-to-date on industry changes.

These designations [include](#):

- Certified Financial Planner™ (CFP®)
- Certified Public Accountant (CPA)
- Personal Financial Specialist (PFS)
- Accredited Investment Fiduciary™ (AIF®)
- Certified Divorce Financial Analyst™ (CDFA™)
- Master of Business Administration (MBA)

Comprehensive Resources on Retirement

As its name suggests, Retirement Investment Advisors specializes in retirement planning, and this is on full display on the RIA website.

The site features an in-depth primer along with critical questions that investors must answer to successfully save for retirement. They offer an [interactive e-book](#) for those who want to learn more.

The questions in the FAQ section are answered with prerecorded videos featuring the Oklahoma City financial advisors at Retirement Investment Advisors. This delivers a slightly more personal touch to the otherwise impersonal process of learning more about an advisory firm via a website.

Read More: [Top Financial Advisors in Tampa & St. Petersburg, FL](#) | [Ranking](#) | [Tampa & St. Petersburg Wealth Managers](#)

T.S. Phillips Investments Inc. Review

[T.S. Phillips Investments](#) offers a hands-on approach to helping people meet their financial goals. Financial planning is all about turning the money you have now into wealth that can support you later using smart investing and planning strategies. This is the kind of service this wealth management firm in Oklahoma City promises to provide.

T.S. Phillips does not explicitly say whether it is a fee-only or fee-based wealth management firm in Oklahoma City. Nevertheless, we have included them on our list of the top financial advisors in Oklahoma due to their investment planning and rich online resources.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled T.S. Phillips Investments to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Comprehensive Financial Planning

Financial planning deals with a lot of moving parts. You have to take a number of factors into consideration and plan for all sorts of possibilities. This wealth management firm in Oklahoma City offers the [following services](#) to help meet these varying needs:

- Retirement planning
- Tax plans
- 401(k) planning
- 403(b) planning

- College plans
- Estate plans
- Money purchasing plans
- Profit sharing plans

Dynamic Investment Planning

Of course, ensuring your retirement funds keep up with inflation and that there's enough money to send all your children to college debt free requires putting money in the stock market. That said, you do not want to throw your money willy-nilly into any stocks you hear about. T.S. Phillips can help you reach your financial goals by helping you choose from a [range of investment options](#) including:

- Bonds
- Common stock
- Educational IRA
- Brokerage accounts
- Government securities
- Roth IRA
- SEP IRA
- Simple IRA
- Treasury bills
- Traditional IRA
- Treasury notes
- Variable annuities
- Mutual funds
- ETFs

Rich Resources Page

T.S. Phillips Investments offers a rich arsenal of online resources via their site. Clients and non-clients of this wealth management firm in Oklahoma City can use their stock quote finder, market summary page, and industry news feed to stay informed.

Warburton Capital Management Review

[Warburton Capital Management](#) is a Tulsa Registered Investment Advisor with the SEC.

Warburton's client roster is limited to a small number of business owners and professionals, both working and retired. New clients are added only after a thorough discovery process that determines whether Warburton is the best fit for each client. The firm focuses on clients with investable assets over [\\$1 million](#).

Warburton Capital is a [fee-only](#) wealth management firm in Tulsa.

Key Factors That Enabled This Firm to Rank as a Top Wealth Management Firm in Oklahoma City and Tulsa

Below are key factors that enabled Warburton Capital to rank as one of the top financial advisors in Oklahoma City and Tulsa.

Fee-Only

Warburton impressed us because of its commitment to the fiduciary standard. It doesn't want to strengthen its own revenue. Instead, it wants to preserve and grow your wealth first and foremost. It is not successful unless you're successful.

The firm is independent and does not accept commission [unless a client approves of it and it is in their best interests](#). In these ways, Warburton eliminates any potential conflict of interest and allows you to receive trustworthy advice. Otherwise, the firm is compensated by fees earned from a percentage of assets under management (AUM).

Long-Term Relationship

Warburton advisors want to forge a long-term relationship with you. They want to not only help you react to life's many changes, but to anticipate these transitions and hardships as much as possible.

In this way, Warburton hopes to guide you through the turbulent times in both the market and life. More than simply surviving, this wealth management firm in Tulsa wants you to thrive, too. The only way to do this is keep you as a trusted client and provide the best service possible.

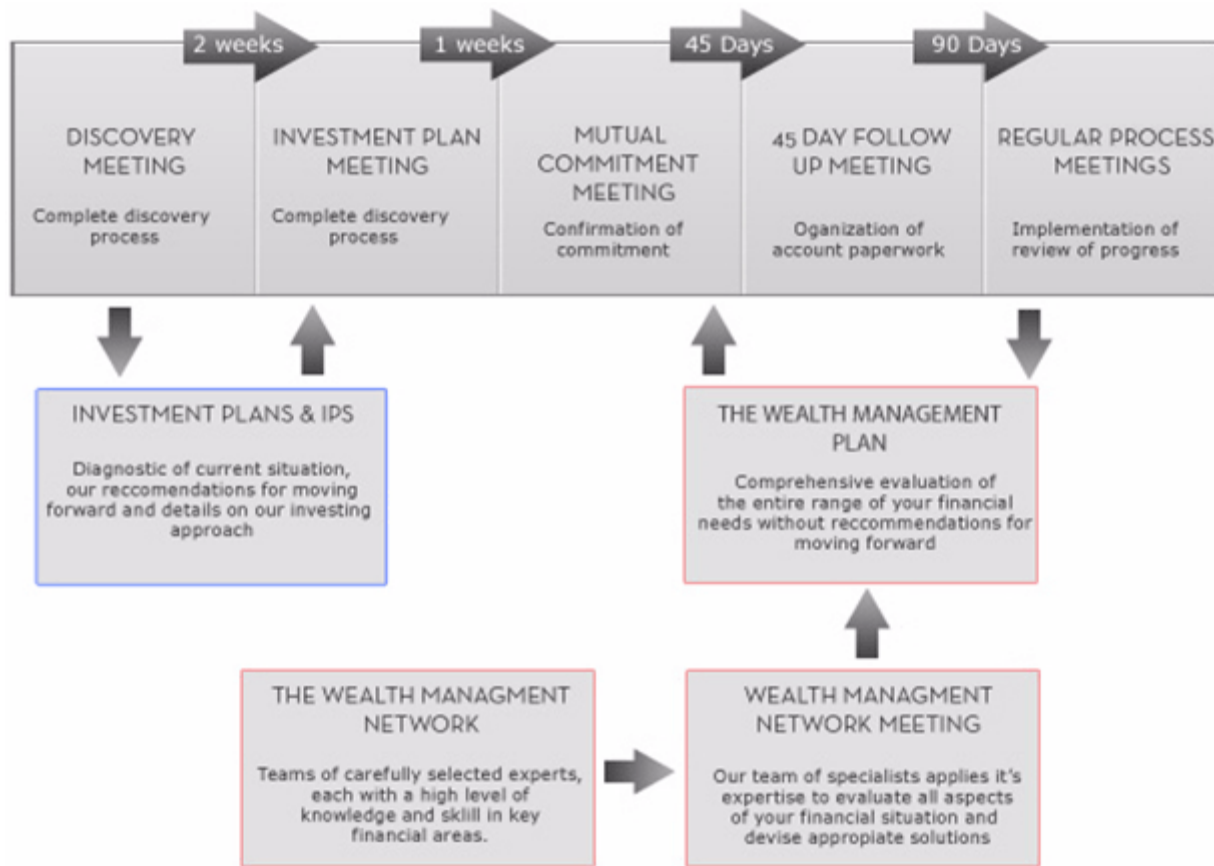
As evidence of Warburton's ability to accomplish this, it boasts a [96% retention rate](#). Not just last year or the year before that, but for every year since the firm was founded.

Detailed, Customized Process

Warburton initiates a detailed, thorough process designed to learn all that it can about you, your life, and your personal financial goals.

This process involves approximately 5-6 meetings across a period of three months. Particulars of [this process](#) include:

- Discovery Meeting: Your Warburton financial advisor in Tulsa gets to know you and your risk tolerance, cash flow, and the goals you have for your money.
- Investment Planning Meeting: This is where your Tulsa financial planner makes recommendations and educates you on further details of Warburton's process.
- Mutual Commitment Meeting: You and your advisor agree to move forward with your finalized plan.
- 45-Day Follow-Up Meeting: You and your advisor finalize and organize all paperwork associated with your account.
- Regular Progress Meetings: Your first progress meeting takes place approximately 90 days after your personalized wealth management process was first initiated. These progress meetings are conducted regularly thereafter as scheduled by both Warburton and you.



Starting from the Mutual Commitment Meeting onward, Warburton utilizes a “Wealth Management Network” to help address key issues in your portfolio.

This network is comprised of specialists experienced in the financial services industry, such as accountants, insurance experts, lawyers, and more. They review your portfolio and offer solutions for maximizing your investments.

Team-Based Effort

Warburton tackles the issues that crop up with your plan through a team-based approach. This team of Tulsa financial advisors is aggregated via an extensive network of professionals with experience in the financial services industry. Some of these professionals include lawyers, Certified Public Accountants, insurance experts, risk management experts, and more.



[Related: Best Financial Advisors in Omaha, NE | Ranking | Omaha Wealth Management Firms](#)

Conclusion – Top 10 Financial Advisory Firms in Oklahoma City & Tulsa

The truth is that you don't need a high-powered, big city firm to help you make sound, successful investments. If you live in the OKC and Tulsa area, you can find a quality wealth manager in Oklahoma City and the surrounding area. These wealth management firms in Oklahoma put you first and stay with you as you strive to reach your financial goals.

The question that remains is which one should you choose? The top Oklahoma City financial planners that made our list can all seem extremely attractive to an eager investor.

Certainly there will be requirements, such as minimum investable assets, or personal preferences, such as distance and proximity, that preclude certain advisors for you.

Otherwise, each Oklahoma City Registered Investment Advisor on our list is willing to hold a complimentary discovery meeting with you. Together, you will determine if you're a good match. If not, then you haven't wasted a penny by finding out the hard way.

However, chances are that you'll mesh with at least one of these Registered Investment Advisors in Oklahoma City and Tulsa. The choice on how soon you begin that new advisor-investor relationship is up to you.

The call is free and so is the first meeting. Don't wait another day to secure a stable future for you, your family or your business.

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Image Sources:

- <http://www.alignmywealth.com/>
- http://www.alignmywealth.com/component/content/article/index.php?option=com_content&view=article&id=24:meet-the-team&catid=6:about&Itemid=81
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