

# **Top 8 Best Financial Advisors in Oklahoma City & Tulsa, Oklahoma**

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## **2016 RANKING OF TOP WEALTH ADVISORS**

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### **Finding the Top Financial Advisors in Oklahoma City & Tulsa, OK**

Many investors conjure up images of New York City and Wall Street when they think about hiring an established, professional investment advisor. Perhaps they even think of Los Angeles, Chicago or other “big” cities known for their commerce and finance.

If you’re searching for a financial planner in Oklahoma City or Tulsa, you want to get the same expertise and level of service that you’d get from one of those established “big city” firms.

Not only that, but you want a wealth management firm in Oklahoma City that takes its time getting to know you and your goals and financial needs – not to mention the needs of your family, business, foundation or institution, as well.

The great news for you is that the more well-known hubs of American finance don’t have a monopoly on successful investment management. To the contrary, several Oklahoma City wealth managers rival whatever service you may find in New York, L.A., Chicago or any other city across the country.

The problem is that some wealth management firms in Oklahoma City and Tulsa sound like they might be trustworthy Oklahoma City financial advisors. They use words like “fee-based” or “independent” – industry buzzwords that draw potential clients looking for a fiduciary advisor.

Whether such firms intentionally mislead potential clients or not, the truth is that many investors, through no fault of their own, aren’t sure of what qualities to look for in a reputable Oklahoma City Registered Investment Advisor.

That's where our list of the top wealth management advisory firms in Oklahoma City can help. We break down the cream of the crop when it comes to choosing a Tulsa and Oklahoma City wealth manager. We lay out in detail what you should look for in an advisor to ensure that it is putting your interests first in everything that it does.

Read on for more of the methodology behind how we chose the best Oklahoma City wealth management firms as well as a listing of the top firms themselves.



## **AdvisoryHQ's List of the Best Financial Advisors in Oklahoma City**

- **Align Wealth Management**
- **ChartMark Investments, Inc.**
- **Hughes Warren, Inc.**
- **InvesTrust Wealth Management**

- [Legacy Financial Group, Inc.](#)
- [NAFA Investment Management](#)
- [Retirement Investment Advisors, Inc.](#)
- [Warburton Capital](#)

*List is sorted alphabetically (click any of the names above to go directly to the detailed review section for that advisor)*

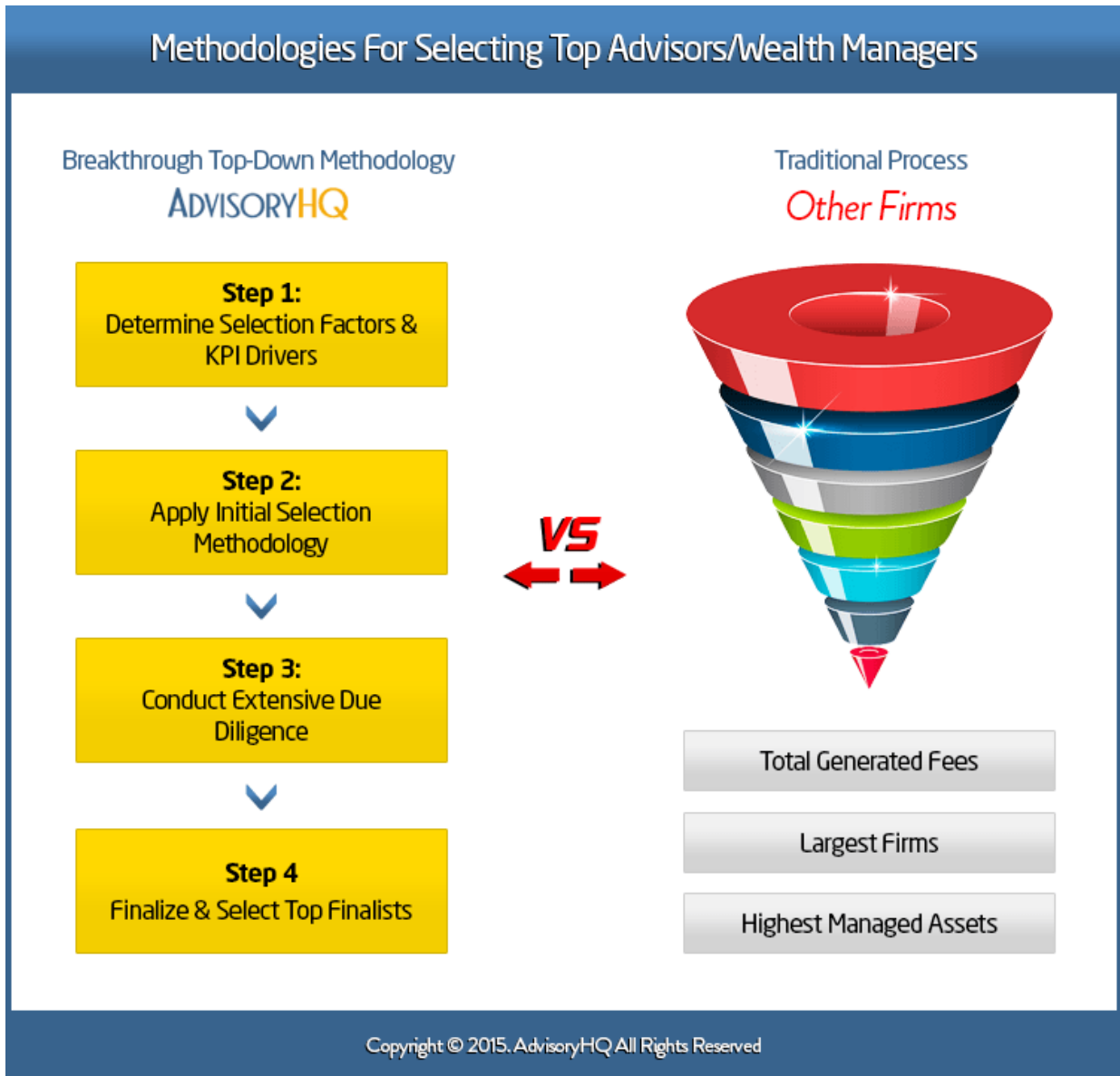
## **Top 8 Financial Advisors in Oklahoma City & Tulsa** *(List is sorted alphabetically)*

<b>No.</b>	<b>Firm</b>	<b>Location</b>
1	Align Wealth Management	Oklahoma City
2	Chartmark Investments, Inc.	Tulsa
3	Hughes Warren, Inc.	Oklahoma City
4	Investrust Wealth Management	Oklahoma City
5	Legacy Financial Group, Inc.	Tulsa
6	NAFA Investment Management	Tulsa
7	Retirement Investment Advisors, Inc.	Oklahoma City
8	Warburton Capital	Tulsa

## **Methodology | Financial Advisors – Oklahoma City, OK**

What methodology did we use in selecting this list of best Oklahoma City financial advisors and top wealth managers in Oklahoma City? Using publicly available sources, AdvisoryHQ identified a wide range of financial advisors, asset and wealth managers, and financial planners that provide services in Oklahoma City and surrounding areas.

We then applied AdvisoryHQ's Breakthrough Selection Methodology to identify the final list of top advisors that provide services to communities in and around Oklahoma City, OK.



## Detailed Review – Top Ranking Financial Advisors in Oklahoma City, Oklahoma

Below, please find a detailed review of each firm on our list of top advisors and financial planners in Oklahoma City. We have highlighted some of the factors that allowed these Oklahoma City financial advisory firms to score so high in our selection ranking.

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# Align Wealth Management Review

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Align Wealth is an Oklahoma City Registered Investment Advisor.

The firm was founded in 1993 to service high-net-worth individuals, families, and small business owners. Its ideal client has investable assets of \$500,000-\$1 million with a range of conservative-to-moderate tolerance for risk.

Align, in conjunction with Forum Financial Management, manages over \$1 billion in assets.

## **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled Align Wealth Management to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

### Fee-Only:

Align is a fee-only firm. This means that it will not charge fees for any proprietary products sold. Nor will it earn commission based off its recommendations of additional products and services.

The firm is committed to a fiduciary standard which obligates it to put your interests first. It is required by law to treat your money as though it was its own. As a result, it recommends only what is necessary for your account without engaging in unneeded risks.

Align's fees cover client conferences and meetings, portfolio reporting, performance reviews and updates, tax reports, and projections on cash flow. The fees are billed in arrears each quarter from a percentage of assets under management (AUM). No exit fees are charged if services are terminated.

One thing that makes Align unique on our list of Oklahoma City Registered Investment Advisors is that it hires a third-party

reconciliation firm to track and verify the firm's investment track record.

Clients can view this information upon request. Furthermore, Align can provide documentation on how the firm performed at any given point in time, replete with an array of market conditions.

Align goes the extra mile by offering a money-back guarantee with no questions asked. If you aren't satisfied with its work, notify it within your first year, and it will refund all your fees.

In the interest of transparency, Align allows you to access your account from both an independent custodian as well as the aforementioned third-party reconciliation firm. Align does not take custody of any client's money.

Additionally, Align advisors invest in the same assets that they recommend to their clients. They believe this demonstrates true integrity and true transparency. In their opinion, any financial advisor worth their salt does the same.



Long-Term Commitment:

Align is committed to you for the long term. In fact, it is Align's philosophy that long-term investments in equities will prove to be most beneficial to your portfolio, particularly as they concern inflation and tax-efficiency.

With that in mind, Align wants to keep you as a client for life. To ensure that this happens, its advisors communicate with you regularly. You will meet with your Align advisor anywhere from 2-4 times each year (for clients in Oklahoma City and Tampa Bay).

However, one of the nice things about Align is that it will meet with you as often as you need. Clients who live outside the firm's main locations have access to their advisors over the phone and through email and web-conferencing.

#### Thoughtful, Customized Service:

Most of the financial advisors in Oklahoma City that appear on our list offer complimentary first meetings. These meetings typically consist of getting to know you and your goals, financial health, and a host of other issues.

Align does the same for prospective clients but with a helpful twist: It offers what it calls a "Second Opinion Service." The goal of this service is to determine where you stand financially and whether or not you truly need Align's services.

Align reviews your financials and learns about your goals and objectives. After doing so, if it determines that you're on the right track, it'll advise you not to hire it and to stay the course.

If Align thinks that you can benefit from certain changes to your portfolio, however, it'll recommend as much.

The nice part? You get to decide whether to take its advice and/or hire Align.

The extra nice part? You don't get charged.

If you do decide to utilize Align's services, it takes some additional time to learn about the specifics involved in reaching your goals. It also learns how much money you wish to save and for what purposes. Your

preferred timeline for reaching these milestones also plays an important part in helping Align to customize your plan.

Once your plan is devised, Align gives you a hand with the implementation. It makes your portfolio tax-efficient, risk-balanced, and cost-effective.

Part of how it accomplishes this is through a process known as asset location. Asset location reduces your overall taxes by ensuring that the right assets are held inside the correct accounts.

The three primary accounts on which Align focuses its Asset Location strategy are:

- Taxable accounts such as revocable trusts and joint accounts
- Tax-free accounts such as Roth IRAs
- Tax-deferred accounts such as IRAs and 401(k)s

In addition, if you come to Align carrying investments with which you do not want to part, it will help you arrange a self-managed Schwab or TD Ameritrade account. Align will not, however, oversee or advise on such investments.

#### Total Team Approach:

At Align, you won't have only a single financial advisor working on your account. Instead, the entire Align team will review your portfolio and offer insights into how to maximize your investments.

This guarantees a more well-rounded plan than you'll receive from your usual Oklahoma City wealth management firm. However, to further ensure that you receive the highest quality investment advice, Align goes the extra mile by partnering with Forum Financial Management and Dimensional Fund Advisors.

Forum is an independent, registered advisory firm comprised of 30 investment professionals. These professionals include Certified Financial Planners, Certified Public Accountants, and more. Through this strategic partnership, Align is better able to work toward solutions that best address your financial needs and goals.

By working with Dimensional Fund Advisors (or DFA), Align connects with Nobel laureates and distinguished professors in economic and



portfolio theory. Many of these professors hail from such reputable and esteemed universities as Stanford, Harvard, University of Chicago, Wharton, and Dartmouth, among others.

The Align-DFA partnership puts Align on the front lines of economic and financial services development. It constantly receives the benefit of the research conducted by DFA's board, allowing it to implement such research in a real-world environment with you, the client.



Align sometimes incorporates DFA funds into its managed portfolios. It can be easy for this to be construed as a conflict of interest. However, Align does not earn commission, kickbacks, incentives or any compensation whatsoever from DFA for utilizing such funds.

To that end, DFA is an exclusive fund sponsor, freeing up Align to choose other investments when they better meet your needs.

#### High Praise:

Align has been recognized by several very reputable magazines through the years. Some of these magazines, among others,

include *Businessweek*, *Wealth Manager* magazine, *Barron's*, and *Financial Advisor* magazine.

#### WebTaxGuide:

Align's website features its WebTaxGuide which is incredibly informative and comprehensive. The guide deals with an assortment of tax issues, including tax planning basics, taxes from investing, real estate taxes, family and education, charitable giving taxes, and more. You can view Align's WebTaxGuide [here](#).

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## **ChartMark Investments, Inc. Review**

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[ChartMark Investments, Inc.](#) is a Registered Investment Advisor in Oklahoma City with additional offices in Louisiana and Texas. ChartMark was founded in 2001 by Mark S. Smith and John Clerico. The firm caters to wealthy individuals and their families, small businesses, and institutions.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled ChartMark Investments, Inc. to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

#### Independent, Fee-Only, and Fiduciary:

ChartMark is an independent, fee-only financial planner in Oklahoma City. By aligning to the fiduciary standard, it obliges itself by law to strive for what is best for its clients, not for the firm.

To this end, ChartMark does not earn or take commission on any recommended products or services, nor does it sell the same. If ChartMark makes a recommendation, it does so free of ulterior motives and with your best interests in mind.

#### Focus on Your Long-Term Well-Being:

ChartMark places a premium on long-lasting client relationships. It is open and upfront about the fact that it wants to assume your goals, needs, and success as its own.

This translates into ChartMark partnering with you to make your financial dreams a reality. It stays dedicated to you until the very end.

One way ChartMark accomplishes this is by getting to know you as thoroughly and completely as possible. When your needs change, it wants to know in order to help adjust your portfolio and keep you on track to achieve your objectives.

Not only that, but ChartMark aims to further your trust and deepen the client-advisor relationship through quality customer service. It provides top-notch performance reports and endeavors to ensure that you speak to a real person any time you call with a question or concern.

#### Personalized Plans:

ChartMark offers a complimentary meeting with you in order to review your financial standing and evaluate how best to proceed with your financial plan.

Once the actual strategizing process has begun, your ChartMark advisor writes down your plan and an investment policy statement. Your plan is personalized to your individual goals, desired time horizon, and existing financial resources.

Your advisor then balances your risk and allocates assets accordingly. At this stage, both your short- and long-term goals are accounted for in your plan. Your plan is structured to preserve enough capital for future milestones while budgeting for more immediate needs.

#### Complete Suite of Financial Services:

ChartMark offers a complete suite of services that can address your wealth management needs.

These services include:

- Investment management
- Financial planning
- Portfolio management

- Qualified retirement plan solutions

### Dedicated to Client Education:

One look at ChartMark's resources on its website and you can tell that it is dedicated to educating its investors.

You have your pick of such resource headers like Life Events, Calculators, and Market Summaries. Within these pages, you'll find advice and informative articles on how to cope with unemployment as well as how to deal with changing jobs, marriage, retirement, starting a family, and more.

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## **Hughes Warren, Inc. Review**

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[Hughes Warren, Inc.](#) is an Oklahoma City Registered Investment Advisor.

The firm was founded by Ted Hughes and Melinda Warren in 2007. It serves individuals, families, and small business owners.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled Hughes Warren, Inc. to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

#### Puts Your Best Interests First:

Hughes Warren is fee-only and will not sneak extra costs into its fee structures. Nor will it earn commissions for its recommendations. Its advice is unbiased, objective, and client-centered.

It is also independent, which allows it to set its own policies and agendas free from undue influence from parent companies or affiliate organizations. Hughes Warren's role as a fiduciary also means that your goals and concerns – not the firm's bottom line – guide its investment recommendations.

### With You for as Long as You Need:

When you undergo changes in your life and your finances, your advisor at Hughes Warren wants to know. It wants to help you recalibrate your portfolio and keep it primed for maximal gains.

To do this, the team at Hughes Warren stays committed to walking beside you no matter what you go through. It meets with you regularly, either in person or by phone, to review your circumstances. From there, it makes recommendations on how to adjust your investments for improved performance.

### Custom Plans:

Hughes Warren meets with you one-on-one to review your financial health and understand your future goals. This initial meeting is 100% complimentary and prepares for the meetings to follow.

From there, your advisor makes recommendations tailored to your individual goals. Several factors contribute to these recommendations, including your cash flow, taxes, risk tolerance, and existing investments.

To make your portfolio all that it can be, Hughes Warren advisors emphasize diversification with a preference for no-load and load-waived mutual funds. However, the firm does retain the ability to manage the majority of investment types.

Once your custom plan has been put into place, your Hughes Warren advisor regularly monitors your portfolio performance and updates you accordingly. As needed, your advisor rebalances your portfolio.

### Experienced in Client Service:

Hughes Warren boasts an office with more than 40 years of experience combined. Its Certified Financial Planners™ are experienced in financial management and estate planning.

Its full array of services includes:

- Financial planning
- Retirement planning
- Investment management
- Cash flow management

- Tax planning
  - Estate planning
  - Insurance planning
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## InvesTrust Wealth Management Review

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InvesTrust Wealth Management is a boutique Oklahoma City financial planner.

The firm was established in 1998 to assist foundations, corporations, endowments, corporate pension plans, and extremely high-net-worth individuals and their families. InvesTrust's clientele stretches from Oklahoma through Texas and the Gulf Coast.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled InvesTrust Wealth Management to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

#### Fee-Only Structure:

InvesTrust Wealth Management is one of the Oklahoma financial planners that makes our list because of its fee-only payment structure. All fees are laid out upfront, with no hidden costs or commissions.

InvesTrust can do this because it is independent and does not function as an arm of a brokerage to which it is beholden. With that in mind, InvesTrust is not directed by sales quotas, performance bonuses, sales-based bonuses, referrals or any payment scheme that produces a conflict of interest.

#### Tailored, Long-Term Advice:

InvesTrust helps you aim for the long-term. It tailors its advice accordingly, ensuring that it won't try to time the market or risk your money on faddish, short-term investments.

However, while it doesn't invest your money in short-term opportunities, it can offer short-term services to better fit your needs. Your InvesTrust advisor meets with you at least once every quarter. However, he/she is willing to meet more often if needed.

No matter how often you meet, you can be assured that InvesTrust will take the time to educate you on what's happening to your portfolio. It values client education and the investor-advisor trust that it can foster.

### Certified with Professional Designations:

The team at InvesTrust has earned several industry-recognized designations. Many of these designations require ongoing education to stay on top of the changing laws and practices within the financial services industry.

Some of these designations include:

- Master of Business Administration (MBA)
- Juris Doctor (JD)
- Chartered Financial Analyst (CFA®)
- Certified Trust & Financial Advisor (CTFA)
- Series 65 Registered Investment Advisor
- Certified Retirement Services Professional (CRSP)
- Qualified Pension Administrator (QPA) (forthcoming)
- Certified Anti-Money Laundering Specialist (CAMS)
- Investment Advisor Certified Compliance Professional (IACCP®)
- Certified Public Accountant (CPA)

### Experienced Team with Wide Range of Services:

The team at InvesTrust sports a diverse background in estate planning, banking, working with foundations, assisting non-profits, tax planning, and employee benefit plans, among others.

To that end, InvesTrust applies this broad array of expertise into serving you in one of the following ways:

- **Custodial:** InvesTrust can act as a custodian for your money while managing the attendant transactions.
- **Investment Management:** In this capacity, InvesTrust seeks investment opportunities that fall within your risk tolerance and

established time horizon. Whether conservative or risk-heavy, InvesTrust advisors can craft a plan to suit your preferences.

- **Trustee:** With this role, InvesTrust directly manages your wealth. It does so typically in conjunction with an accountant, lawyer, and financial institution. Together, they manage your surviving family's financial needs.

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## Legacy Financial Group, Inc. Review

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Legacy Financial Group, Inc. is a Registered Investment Advisor in Oklahoma City.

Founded in 2000, Legacy Financial Group creates custom financial plans for individuals, business owners, foundations, and trustees.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled Legacy Financial Group, Inc. to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

#### Driven by the Fiduciary Standard:

One aspect of Legacy that we really liked was how it makes itself subject to the fiduciary standard. Like any financial advisor, it could have assumed a referral-based, commission-earning, performance-incentivized role as broker-advisor.

Instead, Legacy maintains its independence from other firms and brokerages. This frees up its advisors to offer you the unbiased and conflict-free advice that you want and deserve. As a fiduciary, Legacy adheres to a strict code of ethics that requires each and every client's goals and interests placed first in everything the firm does.

#### Interested in the Long-Term:

Legacy Financial won't play games with your money or help you hit a big, quick score on your investments.



To the contrary, your Legacy advisor will help you craft a holistic, comprehensive plan that addresses the entirety of your finances. To achieve success across the entire spectrum of wealth management, your investments must be built for the long-term. Legacy will be there for you every step of the way.

### Customized Process:

At Legacy, your financial advisor crafts a plan customized around the “big picture” of your financial life. This involves getting to know your future goals, current needs, preferred time frames, tax needs, and more.

Legacy believes that only by utilizing a comprehensive approach in this manner can you attain long-term investing success.

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## **NAFA Investment Management Review**

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**NAFA Investment Management** is a Registered Investment Advisory firm in Oklahoma City, OK, servicing affluent clients with at least \$250,000 in investable assets.

Founded in 1996 by R. Brett Kramer and David Poarch, NAFA was created to help tribal governments, corporations, foundations, pension plans, profit sharing plans, trusts, and various other tribal entities.

One of the unique aspects of NAFA is that the firm is Native American-owned. In fact, several employees at the firm boast a Native American heritage and are members of tribal nations.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled NAFA Investment Management to rank as one of 2016’s best wealth management firms in Oklahoma City, Oklahoma.

### No Conflict-of-Interest:

NAFA is an independent fiduciary financial advisor in Oklahoma City that offers its services without the conflicts of interest that are typical at most other financial advisors.

It wants to be sure you know that there are no split fees, no hidden fees, and no commission earned by its advisors. It doesn't matter what products or services are recommended, NAFA will not earn so much as a penny for doing so.

Being a fee-only advisor, NAFA earns its money strictly from a percentage of assets under management.

### A Focus on Generational Trust:

We like that NAFA doesn't want to service you and you alone. Instead, it wants to do such a good job handling your money that it gains the trust of your family, as well.

To make this goal a reality, NAFA advisors seek to gain and confirm your trust through unwavering integrity and quality client service. It strives to stay in consistent communication with you regarding your account, not to mention coordinating all of its team's efforts toward helping you meet your financial needs.

### A Process Tailored to You:

NAFA doesn't squeeze you into a prefabricated model portfolio. Instead, an investment strategy is created *around* your financial data and concerns. This guarantees you a personalized financial plan that helps you reach your investment goals faster.

Factors such as time frame, risk tolerance, and desired rates of return are figured into your plan. From there, your advisor manages your portfolio and rebalances it according to the aforementioned considerations. Its aim is to keep you on schedule to meet your financial goals.

NAFA never exceeds your risk limit in favor of higher rates of return. Nor will it depart from your agreed-upon investment approach without your approval. Likewise, it will calibrate your plan according to your requests or changes in your financial situation.

### Several Licenses and Certifications Among Staff:

NAFA's advisors boast a wide array of certifications and licensures. Some of these include:

- National Association of Securities Dealers (NASD)
- General Securities Agent (Series 7)
- General Securities Principal (Series 24)
- Uniform Investment Advisor (Series 65)
- Uniform Securities Agent State Law certificate (Series 63)
- Financial Industry Regulatory Authority (FINRA) (Series 66)
- Chartered Financial Analyst (CFA)
- Master of Business Administration (MBA)
- Juris Doctor (JD)
- Certified Financial Planner (CFP®)

### Decades of Experience in an Array of Services:

NAFA's team of financial advisors has collected over 100 years of combined financial services experience. This experience comprises stints in finance, accounting, tax planning, law, risk management, and asset management, among others.

The advisors at NAFA put this knowledge and expertise to use for its clients' benefit in the following capacities:

- Retirement planning
- Tax planning
- Investment planning
- Management of cash flow
- Education planning
- Estate planning
- Risk planning
- Preservation and transfer of wealth
- Charitable gifts
- Foundations

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## **Retirement Investment Advisors, Inc. Review**

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Retirement Investment Advisors, Inc. is an Oklahoma City financial advisor with satellite offices in Edmond, OK, and Frisco, TX. The firm was founded by Randy L. Thurman and Joseph W. Bowie in 1990.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled Retirement Investment Advisors, Inc. to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

#### Independent & Fee-Only:

Retirement Investment Advisors is one of the financial planners in Oklahoma City that you can count on to put your best interests first. This is thanks to the firm's role as a fiduciary. As such, it is legally required to seek out only what is best for you, the client. The firm is independent, and it will never have a parent company or outside organization by which its recommendations are influenced. Your financial plan is built around you and no one else.

The staff at Retirement Investment Advisors are compensated on a fee-only basis. Unlike other firms, it does not earn commission on any product or service that it suggests.

#### Seven Questions for Sound, Personal Investment Management:

Retirement Investment Advisors poses a series of questions to get to the bottom of how you must structure your investment strategy to meet your unique, individual goals.

These seven questions are as follows:

- **How much are you starting with?**
- **How long do you want your money to last?**
- **How much do you want to end up with?**
- **How much return will you get on your investments?**
- **How much risk should you take?**
- **What about inflation?**
- **How much do you want to take out each year?**

Once you complete a complimentary consultation with your RIA advisor, the advisor recommends a strategy sized to your needs. Upon approving your plan, the advisor opens your accounts. You then work regularly with your advisor to review your accounts and rebalance them as needed.

In order to provide a more customized service, Retirement Investment Advisors can craft your financial plan with input from your existing team of consultants and advisors.

### Specialized, Ongoing Education:

The staff members at Retirement Investment Advisors have undergone training in several disciplines to help them perform their jobs better. These designations require continuing education and keep the advisors current on changes within the industry.

These designations include:

- Certified Financial Planner™ (CFP®)
- Certified Public Accountant (CPA)
- Personal Financial Specialist (PFS)
- Accredited Investment Fiduciary™ (AIF®)
- Certified Divorce Financial Analyst™ (CDFA™)
- Master of Business Administration (MBA)

### Industry Recognition:

Retirement Investment Advisors has been recognized for its quality service and philanthropy by both local and national organizations. These organizations and recognitions include:

- Oklahoma Business Consortium's 2010 Compass Award for raising ethical standards in the marketplace
- *Financial Times* Top 300 Financial Advisors of 2015
- The *Journal Record's* 2013 Beacon Award for Charitable Influence

### Comprehensive Resources on Retirement:

As its name suggests, Retirement Investment Advisors specializes in retirement planning, and this is on full display on the RIA website.

The site features an in-depth primer along with critical questions that investors must answer to successfully save for retirement. You can read more, including its interactive ebooklet, [here](#).

Also, the questions in the FAQ section are answered with prerecorded videos featuring the advisors at Retirement Investment Advisors. This delivers a slightly more personal touch to the otherwise impersonal process of learning more about an advisory firm via a website.

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## Warburton Capital Review

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**Warburton Capital** is a Tulsa and Oklahoma City Registered Investment Advisor with the SEC.

Warburton's client roster is limited to a small number of business owners and professionals, both working and retired. New clients are added only after a thorough discovery process that determines whether Warburton is the best fit for each client.

The firm specializes in clients with investable assets over \$1 million.

### **Key Factors That Enabled This Firm to Rank as a Top 2016 Wealth Advisory Company**

Below are key factors that enabled Warburton Capital to rank as one of 2016's best wealth management firms in Oklahoma City, Oklahoma.

#### Fee-Only:

Warburton impressed us because of its commitment to the fiduciary standard. It doesn't want to strengthen its own revenue; it wants to preserve and grow your wealth first and foremost. It is not successful unless you're successful.

The firm is independent and does not accept commission. If an exception occurs and a product or service is recommended that does provide commission, Warburton notifies you immediately. It will not

proceed if the service or product is not in your best interest and/or if you do not approve or feel comfortable.

In these ways, Warburton eliminates any potential conflict of interest and allows you to receive trustworthy advice. Otherwise, the firm is compensated by fees earned from a percentage of assets under management (AUM).

#### With You Until the End:

Warburton advisors want to forge a long-term relationship with you. They want to not only help you react to life's many changes but to anticipate these transitions and hardships as much as possible.

In this way, Warburton hopes to guide you through the turbulent times in both the market and life. More than simply surviving, Warburton wants you to thrive, too. The only way to do this is keep you as a trusted client and provide the absolute best service possible.

As evidence of Warburton's ability to accomplish this, it boasts a 96% retention rate. Not just last year or the year before that, but for every year since the firm was founded.

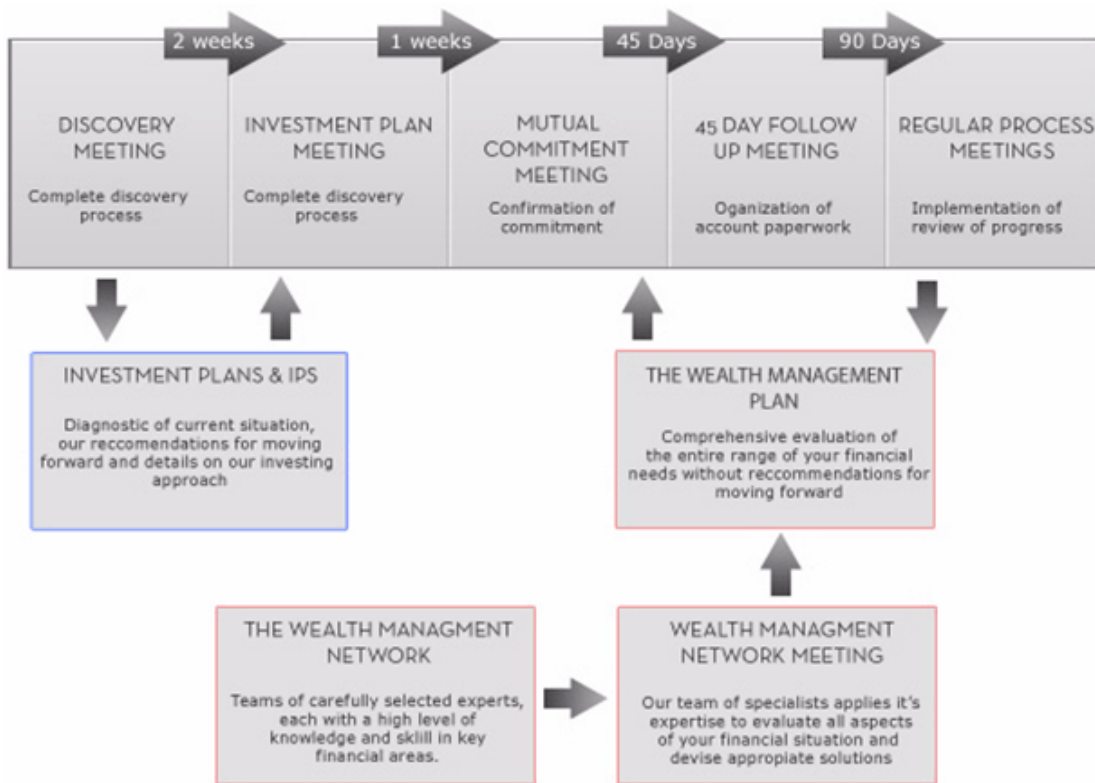
#### Detailed, Customized Process:

Warburton initiates a detailed, thorough process designed to learn all that it can about you, your life, and your personal financial goals. This process involves approximately 5-6 meetings across a period of three months. Particulars of this process include:

- **Discovery Meeting:** Your Warburton advisor gets to know you and your risk tolerance, cash flow, and the goals you have for your money.
- **Investment Planning Meeting:** This is where your advisor makes recommendations and educates you on further details of Warburton's process.
- **Mutual Commitment Meeting:** You and your advisor agree to move forward with your finalized plan.
- **45-Day Follow-Up Meeting:** You and your advisor finalize and organize all paperwork associated with your account.

**Regular Progress Meetings:** Your first progress meeting takes place approximately 90 days after your personalized wealth management

process was first initiated. These progress meetings are conducted regularly thereafter as scheduled by both Warburton and you.



Starting from the Mutual Commitment Meeting onward, Warburton utilizes a “Wealth Management Network” to help address key issues in your portfolio.

This network is comprised of specialists experienced in the financial services industry, such as accountants, insurance experts, lawyers, and more. They review your portfolio and offer solutions for maximizing your investments.

Team-Based Effort:

Warburton tackles the issues that crop up with your plan through a team-based approach. This team is aggregated via an extensive network of professionals with experience in the financial services industry. Some of these professionals include lawyers, Certified Public Accountants, insurance experts, risk management experts, and more.





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## Conclusion – Top 8 Financial Advisory Firms in Oklahoma City, OK

The truth is that you don't need a high-powered, big city firm to help you make sound, successful investments. If you live in the OKC and Tulsa area, you can find a quality wealth manager in Oklahoma City and the surrounding area. These firms put you first and stay with you as you strive to reach your financial goals.

The question that remains is which one should you choose? The top Oklahoma City financial planners that made our list can all seem extremely attractive to the enthusiastic investor ready to get started.

Certainly there will be requirements, such as minimum investable assets, or personal preferences, such as distance and proximity, that preclude certain advisors for you.

Otherwise, each Oklahoma City Registered Investment Advisor on our list is willing to hold a complimentary discovery meeting with you. Together, you will determine if you're a good match. If not, then you haven't wasted a penny in finding out the hard way.

However, chances are that you'll mesh with at least one of these Registered Investment Advisors in Oklahoma City and Tulsa. The choice on how soon you begin that new advisor-investor relationship is up to you.

The call is free and so is the first meeting. Don't wait another day to secure a stable future for you, your family or your business.

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Cheers,  
AdvisoryHQ Team